

Speaking Engagements | November 11, 2020 | 4:00 AM - 7:30 AM ET

# Current Developments in Securities Litigation and Enforcement

## SPEAKERS

Jay A. Dubow

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**Wednesday, November 11 • 9:00 a.m. – 12:30 p.m. ET**

Troutman Pepper Partner Jay Dubow is co-chairing the Current Developments in Securities Litigation and Enforcement CLE Webinar Hosted by the Securities Regulations Committee of the Business Law Section.

This course will focus on leading developments in the securities litigation and enforcement fields. Hear a federal judge, the Director of the SECs Philadelphia office, a senior D&O insurance broker, and leading private practitioners address the following topics among others:

- SEC Enforcement Trends and Actions
- Class Action and derivative developments
- Criminal aspects of the securities laws including parallel proceedings
- D&O insurance coverage issues
- Recent Supreme Court and Circuit Court decisions involving securities litigation

Panelists will use hypotheticals throughout the course to examine these topics to supplement the lecture portion of the program.

Attend this program to hear how recent developments may impact strategies employed in securities litigation and earn one of your required hours of ethics credit.

## Featured Panelists:

- Hon. Michael M. Baylson  
U.S. District Court Judge  
U.S. District Court for the Eastern District of Pennsylvania
- Kelly L. Gibson, Esq.  
Director, Philadelphia Regional Office  
U.S. Securities and Exchange Commission
- Sarah D. Katz Downey  
Managing Director, Marsh-FINPRO  
New York, NY
- Justin P. Klein, Esq.  
Senior Counsel  
Ballard Spahr LLP
- Catherine M. Recker, Esq.

Welsh & Recker PC  
Philadelphia

Course Planners/Panelists:

- Jay A. Dubow, Esq.  
Partner, Troutman Pepper  
Philadelphia
- Deborah R. Gross, Esq.  
President & CEO  
Pennsylvanians for Modern Courts
- Richard A. Levan, Esq.  
Levan Legal LLC  
Philadelphia

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