

Investment Adviser Outsourcing Oversight Proposal

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On October 26, the Securities Exchange Commission (SEC) kicked off its fall rulemaking season by proposing a new outsourcing oversight rule under the Investment Advisers Act of 1940, as amended (Advisers Act). The proposal came in response to the SEC's observations of increased outsourcing and related risks of client harm, which the SEC believes are insufficiently addressed by its existing regulatory framework.

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