

Investment Management Update – Q2 2024

WRITTEN BY

DeJuawn "DJ" Griffin | Joseph T. Cataldo | Matthew A. Ramsey | Theodore D. Edwards | Joseph V. Del Raso
| John M. Ford | Terrance James Reilly | John P. Falco | Barbara H. Grugan | Taylor M. Williams | Genna Garver

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Paul A. Steffens | Christopher A. Rossi | John M. Ford | Stephanie Pindyck Costantino | Genna Garver

In This Update

Covering legal developments and regulatory news for funds, their advisers, and industry participants for the quarter ended June 30.

RULEMAKING AND GUIDANCE

- SEC Issues Third Marketing Rule Risk Alert for Investment Advisers
- SEC Adopts Rule Amendments to Regulation S-P to Enhance Protection of Customer Information
- The US Securities Market Will Transition to a T+1 Standard Settlement Cycle on May 28

LITIGATION AND ENFORCEMENT

- SEC Charges Five Investment Advisers for Marketing Rule Violations
- Fifth Circuit Strikes Down Private Fund Adviser Rules

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