

Investment Management Update – Q2 2025

WRITTEN BY

Genna Garver | Taylor M. Williams | Joseph V. Del Raso | Theodore D. Edwards | John P. Falco | John M. Ford | Barbara H. Grugan | Terrance James Reilly | Matthew A. Ramsey | Joseph T. Cataldo | DeJuawn “DJ” Griffin | James L. Severs

CONTACTS

Paul A. Steffens | Genna Garver | Stephanie Pindyck Costantino | John M. Ford | Christopher A. Rossi

In This Update

Covering legal developments and regulatory news for funds, their advisers, and industry participants for the quarter ended June 30.

RULEMAKING AND GUIDANCE

- SEC Extends Effective and Compliance Dates for Amendments to Investment Company Reporting Requirements
- SEC Withdraws 14 Rule Proposals
- FINRA Launches Broad Review to Modernize Rules Regarding Member Firms and Associated Persons
- FINRA Requests Comment on a Proposal to Reduce Unnecessary Burdens and Simplify Requirements Regarding Associated Persons’ Outside Activities

SEC AND SRO NEWS

- Paul S. Atkins Sworn in as SEC Chairman
- Impact of SEC Buyouts on Key Divisions
- Natasha Vij Greiner to Conclude Her Tenure as SEC Director of Investment Management
- Brian Daly Named Director of Division of Investment Management

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