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Navigating the SEC's Whistleblower Enforcement Wave: A Guide for Financial Institutions

The Consumer Finance Podcast

SPEAKERS

[Sheri P. Adler](#) | [Mary Weeks](#) | [Chris Willis](#)

In this episode of *The Consumer Finance Podcast*, Chris Willis is joined by Partners Sheri Adler and Mary Weeks to discuss the recent uptick in SEC enforcement activity related to whistleblowers. They focus on the implications for financial institutions and other companies, particularly those targeted by the SEC for documents potentially restricting whistleblowers from reporting violations of securities laws.

Sheri and Mary provide insights into the legal framework of whistleblower protections and offer practical tips for companies to avoid potential pitfalls. They highlight the importance of drafting agreements that do not deter whistleblowing, ensuring internal consistency across all company documents, and considering the language in third-party agreements.

This episode also touches on the potential for similar enforcement actions from other regulators, such as the CFPB, underscoring the need for companies to take a broad, holistic approach to compliance.

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