

Speaking Engagements | October 16, 2025 | 1:00 PM - 2:00 PM ET

Securities Law Update With the PA Department of Banking & Securities' Chief Counsel

Webcast

SPEAKERS

[Jay A. Dubow](#)

Jay Dubow, a co-leader of Troutman Pepper Locke's Securities Investigations + Enforcement Practice Group, will be speaking on the Philadelphia Bar Association webcast titled "Securities Law Update With the PA Department of Banking & Securities' Chief Counsel." This CLE discussion will delve into structure, agenda, and operation of the Pennsylvania Department of Banking & Securities. Attendees will learn how the office is organized, and tips on how best to interact with the Department. Then, hear about the recent updates that focus on streamlining registration, filing, reporting, and record retention requirements, as well as enforcement issues.

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