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The SEC Wants To Let Firms Report Twice a Year. This Is What It Means for Advisors

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Jay Dubow, co-leader of Troutman Pepper Locke's Securities Investigations + Enforcement Practice Group, was quoted in the May 7, 2026, *InvestmentNews* article, "[The SEC Wants to Let Firms Report Twice a Year. This Is What It Means for Advisors.](#)"

Lawyer Jay Dubow, partner and co-lead of the securities investigations and enforcement practice group at Troutman Pepper Locke thinks that the six-month reporting schedule could be burdensome to financial advisors who are used to getting quarterly earnings information.

"It could be especially burdensome to financial advisors if some companies remain as quarterly filers while peers elect to report semi-annually, as it will make comparisons more difficult," he told *InvestmentNews*.

"If companies elect to report semi-annually, I would expect financial advisors to have to work more to get additional information about such companies and some transparency will be lost," he added.

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