

Press Coverage | May 15, 2025

Will SEC Examiner Departures Mean Less Retail Alts Scrutiny?

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Jay Dubow, co-leader of Troutman Pepper Locke's Securities Investigations + Enforcement Practice Group, was quoted in the May 15, 2025 *FundFire* article, "[Will SEC Examiner Departures Mean Less Retail Alts Scrutiny?](#)"

While Atkins has yet to release his regulatory agenda and priorities, it's certain that fewer staffers will result in fewer examinations, said Jay Dubow, a partner at Troutman Pepper Locke and former branch chief in the SEC's enforcement division.

"I think there's also going to be fewer referrals to enforcement as a result of those exams," he said.

...

"If you have a more esoteric-type product, you want someone to understand it," Dubow said. "It may be more of a hassle for you to try to get through your exam with people who don't understand your business."

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