Alan J. Levin

Partner

New York

alan.levin@troutman.com

D 212.912.2777



Alan advises key players in the insurance and reinsurance sectors on complex transactions and regulatory matters in mergers and acquisitions of producers, managing general agents, and third-party administrators.

OVERVIEW

Alan advises a diverse range of clients within the insurance and reinsurance sectors, including domestic and international insurers and reinsurers, producers, reinsurance intermediaries, managing general agents, third-party administrators, private equity funds, hedge funds, and captive insurance programs. He counsels on a wide range of matters, including, but not limited to, debt and private equity investments in financial services providers, insurance companies and alternative risk transfer (ART), adverse development cover (ADC), loss portfolio transfer (LPT), lenders, investment banks, state insurance regulators, debtors and creditors of insolvent insurers, and trade associations.

Alan navigates complex regulatory landscapes and brings a strategic approach to transactions and corporate governance. He represents clients in administrative hearings, structures offshore reinsurance transactions, and facilitates public offerings and private placements.

REPRESENTATIVE MATTERS

- Represented clients in mergers, acquisitions, commutations, and structured offshore reinsurance transactions.
- Advised both insurers and reinsurers on regulatory issues, including formation, licensing, mergers, acquisitions, and mutual holding company conversions.
- Guided insurance companies as investors, lenders, and investment bankers in public offerings and private placements.
- Counseled clients on corporate governance matters, including shareholder and director meetings.
- Represented clients in the sale and acquisition of insurance producers and navigated complex regulatory matters, including licensing and investigations by attorneys general and insurance departments.
- Represented mutual insurers in mutual affiliation transactions.
- Advocated for clients in administrative hearings before state insurance departments.

AWARDS

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- *Legal 500 United States*: Insurance: Non-Contentious (2026)
- *Thomson Reuters* Stand-out Lawyer (2023-2026) – independently rated lawyers
- *Best Lawyers in America*®: Insurance Law and Reinsurance Law (2020-2027)
- *Global Law Experts*, Insurance and Reinsurance Lawyer of the Year, Connecticut (2023)
- *Lexology Index:* Insurance & Reinsurance (formerly *Who's Who Legal*) (2023-2024)
- Martindale Hubbell, Top Rated Lawyer (2021)

TOP AREAS OF FOCUS

- Insurance + Reinsurance

ALL AREAS OF FOCUS

- Excess + Surplus Lines
- Insurance + Reinsurance
- Insurance Distribution + Intermediary
- Insurance Transactional + Regulatory
- Mergers + Acquisitions

PROFESSIONAL/COMMUNITY INVOLVEMENT

- Editorial advisory board member, *Law360* (2021, 2024)
- Member, International Bar Association
- Member, Federation of Regulatory Counsel Inc.
- Member, American Bar Association

EDUCATION AND CERTIFICATIONS

EDUCATION

- Brooklyn Law School, J.D., 1985
- Clark University, B.A., 1982

BAR ADMISSIONS

- Connecticut
- District of Columbia
- New York

SPEAKING ENGAGEMENTS

- Moderator, "Closing Keynote," *Insurance Insider* US: New York City Conference, June 20, 2024.
- Moderator, "The Path of an InsurTech – Launching a Technological Insurance Platform and Associated Insurance Distribution and Risk Assumption Considerations," IBA Global Influencer Forum, July 1, 2021.

PUBLICATIONS

- Co-author, “Conn. Insurers Should Prepare To Explain Premium Hikes,” *Law360*, October 9, 2026.
- Co-author, “Between a Claim and a Hard Place: OFAC Sanctions Preempt State Insurance Laws,” Locke Lord QuickStudy, December 16, 2024.
- Co-author, “OFAC Issues New and Amended FAQs for the Insurance Industry,” Locke Lord QuickStudy, November 20, 2024.
- Author, “Navigating the Impact of the New Fiduciary Rule on Insurers and Producers,” Locke Lord QuickStudy, May 31, 2024.
- Author, “Delaware Court Addresses De-SPAC Merger Claims,” *Locke Lord*, January 12, 2022.
- Author, “SPACs and the Implications for D&O Insurance,” Locke Lord QuickStudy, December 21, 2021.
- Author, “Connecticut Insurance Department Releases its National Climate Risk Survey,” *Locke Lord*, August 20, 2021.
- Author, “New Jersey Department of Banking and Insurance Issues Mandatory Business Interruption Insurance Policy Summary,” *Locke Lord*, August 19, 2021.
- Author, “Connecticut Passes the First Climate-Related Risk Legislation in the United States,” *Locke Lord*, June 21, 2021.
- Author, “New Jersey Considering Legislation That Would Authorize Insurers to Provide Policy Riders Covering Global Virus Transmission or Pandemic,” *Locke Lord*, June 3, 2021.
- Author, “Constitutionally Permissible? Can States Require Insurers To Pay Business Interruption Claims Due to Government-Imposed Business Closures?” *New York Law Journal*, May 21, 2021.
- Author, “New Jersey Enacts New Law Requiring Business Interruption Insurers to Disclose Coverage Parameters,” *Locke Lord*, May 20, 2021.
- Author, “New Jersey Passes Legislation Requiring Insurers to Apprise Insureds of Particular Aspects of Their Business Interruption Coverage,” *Locke Lord*, April 5, 2021.
- Author, “The New York Department of Financial Services Seeks to Promote a Culture of Diversity, Equity and Inclusion,” *Locke Lord*, March 18, 2021.
- Author, “Various States Seek to Mandate Insurers Cover COVID-19 Related Business Interruption Claims,” *Locke Lord*, March 11, 2021.
- Author, “State Legislatures Seek to Protect Insureds from COVID-19 Business Interruption,” *Locke Lord*, February 8, 2021.

MEDIA COMMENTARY

- Quoted, “Parametric Insurance Offers Hope Amid Systemic Challenges,” *Law360*, April 24, 2025.
- Quoted, “Reinsurance Rates Likely To Rise Due to Disasters, Demand,” *Law360*, November 24, 2021.
- Quoted, “Social inflation, COVID-19 and the rise of insurtechs: the lawyers view,” *Intelligent Insurer*, October 13, 2020.