

Alexander T. Yarbrough

Associate

Charlotte

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D 704.998.4077



Alex provides strategic advice and counseling to clients engaged in both the public and private markets regarding capital raising transactions, M&A, and corporate and SEC compliance matters. Clients value his commitment and dedication in ensuring that deals are finalized.

OVERVIEW

Alex advises companies and other market participants on capital markets transactions, commercial contracts, and mergers and acquisitions. He represents issuers and underwriters in a variety of securities offerings, including initial public offerings, follow-on public offerings, Rule 144A offerings, as well as private placements (including PIPE transactions). Alex also counsels issuers with regard to their ongoing reporting obligations under the Securities Exchange Act of 1934, as well as Nasdaq, NYSE, and other exchange listing and compliance matters.

REPRESENTATIVE MATTERS

- Represented a contract development and manufacturing organization (CDMO) in concurrent public offerings with gross proceeds of \$35.6 million.
- Represented a biopharmaceutical company in its \$45.2 million initial public offering.
- Represented an underwriter in connection with a \$75 million bought deal public offering of common stock.
- Represented a biotechnology company in its initial public offering and subsequent follow-on offering, totaling approximately \$20.6 million.
- Represented a specialty health sciences company in its follow-on public offerings totaling \$14.2 million and “at-the-market offerings,” totaling \$35 million.
- Represented an artificial intelligence driven platform provider and course designer in its initial public offering of \$15 million.
- Represented a German-based company in its €30 million Rule 144A offering of ordinary bearer shares in the U.S.

AWARDS

- *Best Lawyers in America®: Ones to Watch: Life Sciences Practice (2024-2026)*

TOP AREAS OF FOCUS

- [Capital Markets](#)
- [Corporate](#)
- [Corporate Governance](#)
- [Mergers + Acquisitions](#)

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- [Corporate](#)
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- [Health Care + Life Sciences](#)
- [Life Sciences Transactions](#)
- [Mergers + Acquisitions](#)

EDUCATION AND CERTIFICATIONS

EDUCATION

- Seton Hall University School of Law, J.D.
- Florida State University, B.S., business management; international affairs

BAR ADMISSIONS

- New York
- Florida
- New Jersey
- North Carolina

COURT ADMISSIONS

- U.S. District Court, Middle District of Florida

PUBLICATIONS

- Co-author, "[SEC Considers Changes to Definition of Foreign Private Issuer](#)," *Troutman Pepper Locke*, June 16, 2025.
- Co-author, "[New Texas Stock Exchange Aims at Nasdaq and NYSE](#)," *Troutman Pepper Locke*, April 8, 2025.
- Co-author, "[SEC Expands Confidential Filing Options for Companies Submitting Draft Registration Statements](#)," *Troutman Pepper Locke*, March 11, 2025.