

Domenic Cervoni

Partner

New York

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Domenic has extensive experience managing multifaceted legal challenges. Drawing from his in-house experience, he provides strategic and efficient service with a focus on achieving his clients' business objectives.

OVERVIEW

Domenic represents financial institutions, fintech companies, and major corporations in complex, sensitive, and high-profile litigation matters in various federal and state courts. He served as associate general counsel and senior legal counsel for HSBC North America Holdings, Inc., where he drove overall case strategy for complex class action, banking, antitrust, securities, and corporate trustee litigation matters. In this role, Domenic collaborated with senior business executives and internal stakeholders to achieve outcomes in the client's favor. He also handled high-risk regulatory matters and provided advice on legal, reputational, and regulatory risk strategy.

Before his tenure at HSBC, Domenic spent six years at a global law firm representing leading U.S. and international financial institutions in complex commercial litigations and investigations.

Domenic is also dedicated to mentoring and is a frequent speaker and writer on leadership, best practices for outside counsel, and mental health awareness in the legal industry.

REPRESENTATIVE MATTERS

Private Practice

- Driving litigation strategy on behalf of one of Europe's largest banks in connection with complex structured finance litigation matters filed in various federal and state courts and seeking hundreds of millions of dollars in damages.
- Advising industry leading global fintech company in connection with various counterparty disputes.
- Defending one of the largest U.S. banks in connection with complex commercial mortgage-backed securitization (CMBS) litigation.
- Defending one of Japan's largest banks in connection with consumer class action complaint regarding newly formed U.S. digital banking business as well as commercial customer discrimination claims.
- Developed successful strategies for resolution of complex RMBS litigation matters on behalf of RMBS trustees and other deal parties.

- Represented former broker-dealer employee in connection with FINRA arbitration.
- Represented one of the world's oldest banks in seeking court approval of \$8.5 billion settlement of complex structured products claims.
- Represented top global banks under SEC, DOJ, and state attorneys general investigations.
- Defended a large financial institution under SEC and CFTC investigations, and in related civil actions for preferential and fraudulent transfers.

In-House Counsel

- Advised senior executives and internal stakeholders regarding legal, reputational, and regulatory risk strategy.
- Team lead for arbitrations, mediations, and settlement negotiations that favorably resolved financial crisis litigation matters.
- Proactively managed dozens of complex litigation matters in collaboration with different stakeholders, functions, and business lines across various global regions, including global banking, capital markets, and the broker-dealer.
- Advised retail banking and wealth management business and regulatory compliance in responding to customer complaints and regulatory inquiries, including FINRA exams.
- Created and implemented procedures for responding to high risk regulatory and government inquiries.
- Advised legal operations team in the selection of external service providers and negotiation of vendor agreements.
- Collaborated with data privacy and e-discovery teams in the creation and execution of legal hold and data retention processes.

TOP AREAS OF FOCUS

- Business Litigation
- Class Action
- Digital Assets + Blockchain
- Financial Services Litigation
- Securities Investigations + Enforcement
- Securities Litigation

ALL AREAS OF FOCUS

- Antitrust
- Business Litigation
- Capital Markets
- Class Action
- Corporate Trust + Agency Services
- Digital Assets + Blockchain
- Financial Services
- Financial Services Litigation
- Litigation + Trial
- Payments + Financial Technology
- Securities Investigations + Enforcement

- Securities Litigation

PROFESSIONAL EXPERIENCE

- Associate general counsel, HSBC North America Holdings, Inc.

EDUCATION AND CERTIFICATIONS

EDUCATION

- New York Law School, J.D., *cum laude*, 2007
- Hofstra University, B.A., *cum laude*, 2004

BAR ADMISSIONS

- New York

COURT ADMISSIONS

- U.S. District Court, Southern District of New York
- U.S. District Court, Eastern District of New York
- Supreme Court of the United States

SPEAKING ENGAGEMENTS

- Speaker, "From Outside Counsel to In-House Counsel and Back!" In-House Connect, November 8, 2022.
- Speaker, "Practicing Law, Caregiving, and Self-Care," *WorkWell Podcast*, March 24, 2021.

PUBLICATIONS

- Author, "*SEC v Covington & Burlington*: Client Names Are Not Privileged," Locke Lord QuickStudy, July 27, 2023.
- Author, "From Tragedy to Triumph: How My Wife's Courageous Battle with Rare Cancer Has Motivated Me to Live a Better Life Filled with Passion, Empathy, and Gratitude," November 2018.