

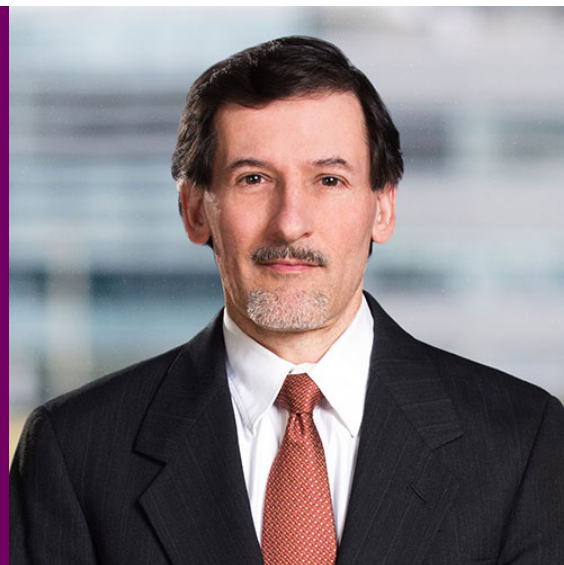
## Gregory J. Rubis

Counsel

Princeton

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Greg applies his deep experience as a government regulator in representing financial services industry clients before the Securities and Exchange Commission and various state and federal banking and securities regulatory agencies.

### OVERVIEW

Gregory represents clients in a range of banking, securities, and corporate law matters.

Before joining the firm, Greg was counsel with the Office of the Comptroller of the Currency in New York, where he negotiated enforcement documents, participated in bank examinations, coordinated action with Federal Reserve and FDIC staff, and provided advice regarding supervision of banks, federal branches and agencies, and trust banks. His advice included analysis and interpretation of licensing, asset management and BSA/AML/OFAC issues. He also served the U.S. Department of the Treasury as a senior attorney in its Office of Thrift Supervision, working on federal banking, securities and corporate matters concerning savings associations and holding companies.

Greg began his legal career in Washington, D.C. with the U.S. Securities and Exchange Commission in the Division of Corporation Finance, and then with the bank fraud task force within the Division of Enforcement. That government service included a detail where Greg tried criminal cases before juries as a Special Assistant U.S. Attorney.

### TOP AREAS OF FOCUS

- Finance + Banking
- Financial Services

### EDUCATION AND CERTIFICATIONS

#### EDUCATION

- Hellenic College and Holy Cross Greek Orthodox School Of Theology, M.Div., *with distinction*, 2005
- Widener University Delaware Law School, J.D., 1986, member, *The Delaware Journal of Corporate Law*
- Boston University, B.S.B.A., *cum laude*, 1983, finance

- Boston University, B.A., 1983, economics

## **BAR ADMISSIONS**

- New York
- New Jersey
- Pennsylvania
- District of Columbia (Inactive)
- California (Inactive)

## **PUBLICATIONS**

- Co-author, "[The One-Two Punch to Madden – FDIC, Following the OCC, Finalizes its Regulation Clarifying the Valid-When-Made Doctrine](#)," *Client Alert*, June 30, 2020.
- Co-author, "[OCC Issues Valid-When-Made Rule With an Eye Toward Legal Challenges](#)," *Client Alert*, June 4, 2020.
- Co-author, "[OCC's Proposed Licensing Guidelines Treat Fintech Charters Like Any Other National Bank](#)," *Client Alert*, March 24, 2017.

## **MEDIA COMMENTARY**

- Quoted, "[More Fintechs to Seek 'Gold Standard' National Bank Charter Amid Regulatory Thaw](#)," *S&P Global Market Intelligence*, August 3, 2020.