

Gregory J. Rubis

Counsel

Princeton

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Greg draws on nearly 30 years of experience representing clients before the SEC and securities regulatory agencies, as well as advising emerging growth clients.

OVERVIEW

Greg represents clients in a range of securities, corporate, and banking law matters. With nearly 40 years of experience, he helps clients navigate complex securities law and regulatory issues, as well as assist with periodic filings and regular interactions with the Securities and Exchange Commission (SEC), FINRA, NASD, and NYSE.

Greg has also assisted with hundreds of venture capital and private equity-backed deals, including financings and exit transactions, in addition to acting as a primary outside counsel for day-to-day issues affecting growth companies. He provides timely, practical, and cost-efficient advice to his clients.

Greg began his legal career in Washington, D.C. with the SEC in the Division of Corporation Finance, and then within the Division of Enforcement. His government service included a detail where Greg tried criminal cases before juries as a special assistant U.S. attorney. Following that time, he spent more than a decade in private practice assisting clients with securities law issues.

Greg was also a counsel with the Office of the Comptroller of the Currency in New York, where he negotiated enforcement documents, participated in bank examinations, coordinated action with Federal Reserve and FDIC staff, and provided advice regarding supervision of banks, federal branches and agencies, and trust banks. He also served the U.S. Department of the Treasury as a senior attorney in its Office of Thrift Supervision, focusing on federal banking, securities, and corporate matters concerning savings associations and holding companies.

TOP AREAS OF FOCUS

- [Banking + Financial Services Regulation](#)
- [Emerging Companies + Venture Capital](#)
- [Finance + Banking](#)
- [Financial Services Securities + Capital Markets](#)
- [Private Equity](#)

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- [Private Equity](#)

EDUCATION AND CERTIFICATIONS

EDUCATION

- Widener University Delaware Law School, J.D., 1986, member, *The Delaware Journal of Corporate Law*
- Boston University, B.S.B.A., *cum laude*, 1983, finance
- Boston University, B.A., 1983, economics

BAR ADMISSIONS

- New York
- New Jersey
- Pennsylvania
- District of Columbia (Inactive)
- California (Inactive)

PUBLICATIONS

- Co-author, "The One-Two Punch to Madden – FDIC, Following the OCC, Finalizes its Regulation Clarifying the Valid-When-Made Doctrine," *Client Alert*, June 30, 2020.
- Co-author, "OCC Issues Valid-When-Made Rule With an Eye Toward Legal Challenges," *Client Alert*, June 4, 2020.
- Co-author, "OCC's Proposed Licensing Guidelines Treat Fintech Charters Like Any Other National Bank," *Client Alert*, March 24, 2017.

MEDIA COMMENTARY

- Quoted, "[More Fintechs to Seek 'Gold Standard' National Bank Charter Amid Regulatory Thaw](#)," *S&P Global Market Intelligence*, August 3, 2020.