James L. Severs

Associate

Charlotte

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James is an experienced, solutions-focused attorney that advises private funds, registered investment companies, and their sponsors on all aspects of fund formation, operation, and compliance.

OVERVIEW

James advises clients on transactional and regulatory matters, including the formation of privately o?ered investment funds; the formation, registration, and o?ering of publicly traded closed-end investment companies, business development companies, and exchange-traded funds. He also advises on the operation of commodity pools; public securities o?erings (including IPOs); and compliance with the Investment Advisers Act of 1940, Investment Company Act of 1940, Commodity Exchange Act, Securities Act of 1933, and the Securities Exchange Act of 1934.

In addition to his work in the asset management industry, James participates in various pro bono initiatives that are focused on ensuring access to justice.

** Licensed to practice in Maryland and the District of Columbia. Not admitted in North Carolina.*

TOP AREAS OF FOCUS

- Capital Markets
- Corporate
- Investment Funds + Investment Management Services
- Private Equity

ALL AREAS OF FOCUS

- Capital Markets
- Corporate
- Financial Services
- Investment Funds + Investment Management Services
- Private Equity

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EDUCATION AND CERTIFICATIONS

EDUCATION

- The Catholic University of America, Columbus School of Law, J.D., *magna cum laude*, 2016, member, *Catholic University Law Review*
- University of Kentucky, B.A., 2013

CERTIFICATIONS AND MEMBERSHIPS

- The Catholic University of America, Columbus School of Law, Securities Law Certificate

BAR ADMISSIONS

- Maryland
- District of Columbia

SPEAKING ENGAGEMENTS

- Speaker, "Evergreen Fund Structures in Private Markets: Formation, Operation, Strategic Advantages, Risk Allocation," Strafford Webinar, February 4, 2025.

PUBLICATIONS

- Co-author, "Investment Management Update – Q2 2026," *Troutman Pepper Locke*, September 1, 2026.
- Co-author, "Investment Management Update – Q1 2026," *Troutman Pepper Locke*, May 6, 2026.
- Co-author, "Investment Management Update – Q3 2025," *Troutman Pepper Locke*, November 20, 2025.
- Co-author, "Investment Management Update – Q2 2025," *Troutman Pepper Locke*, September 9, 2025.
- Co-author, "Investment Management Update – Q1 2025," *Troutman Pepper Locke*, June 4, 2025.
- Co-author, "Investment Management Update – Q3 2024," *Troutman Pepper*, November 25, 2024.

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