

## Mary Grace W. Metcalfe

Partner

New York

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### OVERVIEW

Mary Grace is a partner in the firm's Regulatory Investigations, Strategy + Enforcement (RISE) Practice Group. In addition to representing clients in all stages of litigation and mediation, she advises a wide variety of clients facing investigations by federal and state agencies. Mary Grace also has experience in general commercial litigation, international arbitration, cross-border dispute resolution, and public records.

Prior to joining the firm, Mary Grace clerked in the Eastern District of Pennsylvania and the Northern District of Mississippi, after which she joined a securities litigation boutique. She has appeared and successfully argued before the U.S. District Court for the Southern District of New York, U.S. Bankruptcy Court for the Southern District of New York, various New York County Courts, and multiple arbitral tribunals.

Mary Grace remains involved in supporting the various international moot competition teams at New York University School of Law, where she co-founded the International Mediation Moot Team. She is also an alumna editor of the *European International Arbitration Review*.

Mary Grace regularly provides ongoing commentary and analysis on key updates in the state and federal regulatory landscape through the firm's Regulatory blog, [Regulatory Oversight](#).

### REPRESENTATIVE MATTERS

- Represented net winners of an alleged Ponzi scheme in federal court.
- Represented and advised financial industry professionals facing investigations conducted by FINRA and the SEC.
- Represented both broker dealers and customers in disputes involving Puerto Rico bonds.
- Represented both good-faith and allegedly bad-faith defendants in Madoff clawback proceedings. Settlements or hardship waivers were successfully negotiated for all defendants.
- Represented multiple small businesses in New York contract disputes.
- Represented former hedge fund employee in federal case involving New York Labor Law and breach of contract.
- Represented local community organization in litigation regarding access to public records.
- Represented merchant banking firm in funding dispute.

- Represented former employee in FINRA arbitration against broker dealer employer. After five days of hearing, the Panel ruled in favor of the former employee, awarding all damages and attorneys' fees.

## AWARDS

- *Best Lawyers in America®: Ones to Watch: Administrative / Regulatory Law (2026), Criminal Defense: White-Collar (2026)*

## TOP AREAS OF FOCUS

- Regulatory Investigations, Strategy + Enforcement
- Securities Investigations + Enforcement
- White Collar Litigation + Investigations

## ALL AREAS OF FOCUS

- Litigation + Trial
- Public Records/FOIA
- Regulatory Investigations, Strategy + Enforcement
- Securities Investigations + Enforcement
- White Collar Litigation + Investigations

## EDUCATION AND CERTIFICATIONS

### EDUCATION

- New York University School of Law, J.D., 2014
- University of Washington, B.A., 2010

### BAR ADMISSIONS

- New York

### COURT ADMISSIONS

- U.S. District Court, Southern District of New York
- U.S. District Court, Eastern District of New York
- U.S. Bankruptcy Court, Southern District of New York
- U.S. Court of Appeals, First Circuit
- U.S. Court of Appeals, Fourth Circuit
- Supreme Court of the United States

## SPEAKING ENGAGEMENTS

- Moderator, "Working Well with Your State Attorney General," Credit and Collection News 18th Annual Credit Grantor Consortium, September 13, 2023.
- Panelist, "Federal and State Regulatory Priorities Impacting Creditors," Credit and Collection News 17th Annual

Credit Grantor Consortium, September 14, 2022.

- Speaker, “Challenging the SEC in Federal Court: Recent Developments in Case Law and Practice Notes,” West LegalEdcenter CLE Webcast, October 13, 2021.
- Panelist, ” Challenging the SEC in Federal Court: Recent Developments in Case Law and Practice,” Challenging the SEC in Federal Court: Recent Developments in Case Law and Practice Notes, January 14, 2021.

## PUBLICATIONS

- Co-author, “Pattern Element Is Still Crucial to Defending RICO Cases,” *Law360*, September 15, 2022.
- Podcast, “The “Pattern” of Racketeering Activity,” *RICO Report Podcast*, August 16, 2022.
- Co-author, “Manufacturers Respond to the Right to Repair,” *Regulatory Oversight*, April 20, 2022.
- Co-author, “Technology as Protector: Challenges, Adaptations, and Best Practices for Remote Public Meetings During States of Emergency,” *Ensuring an Informed Public: State Open Records and Meetings Laws*, American Bar Association, August 27, 2021.
- Co-author, “SEC Cases Offer Insight into Its Approach to Alleged COVID-19 Securities Fraud,” *Troutman Pepper*, June 29, 2021.
- Co-author, “Ex-Informant Ruling Guides on Challenging SEC Enforcement,” *Law360*, November 18, 2020.
- Co-author, “Gov’t Informant Ruling Will Limit Recourse for SEC Targets,” *Law360*, October 7, 2020.

## MEDIA COMMENTARY

- Quoted, “Fed Circ. Passes On VA, Veteran Dispute Over GI Bill Benefits,” *Law360*, June 27, 2025.
- Mentioned, “Military Retirees Say They Were Denied Extended GI Bill Benefits Despite Supreme Court Ruling,” *Military.com*, March 31, 2025.