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Bob advises public and private companies, as well as shareholders and investors on a variety of securities, corporate governance, and transactional matters. His deep knowledge of the securities regulations allows him to counsel clients through complex securities law issues, including securities offerings, periodic reporting and disclosures, stock exchange listing requirements, and other compliance matters.

OVERVIEW

Publicly traded and privately held clients turn to Bob for a broad range of corporate and securities law issues. He provides guidance in the areas of SEC filings, disclosures, and general compliance for domestic and foreign issuers, as well as securityholders.

Bob counsels business clients in a diverse range of industries and handles securities law compliance, corporate governance, and securities offerings. He advises NYSE, Nasdaq, and other publicly traded companies on the latest developments and requirements affecting public companies, including SEC regulations and stock exchange rules, as well as developments in regulatory and case law.

He provides analysis of “securities” status of cryptocurrency tokens; U.S. securities law compliance for token sales, including U.S. offerings under Regulation D, offshore offerings under Regulation S; and compensatory grants to employees and consultants.

AWARDS

- *Best Lawyers in America*®: Corporate Law (2023, 2025-2026)

TOP AREAS OF FOCUS

- Capital Markets
- Corporate
- Corporate Governance
- Mergers + Acquisitions

ALL AREAS OF FOCUS

- [Cannabis](#)
- [Capital Markets](#)
- [Corporate](#)
- [Corporate Governance](#)
- [Digital Assets + Blockchain](#)
- [International](#)
- [Investment Funds + Investment Management Services](#)
- [Mergers + Acquisitions](#)
- [Private Equity](#)
- [Technology](#)

EDUCATION AND CERTIFICATIONS

EDUCATION

- University of Pennsylvania Carey Law School, J.D., 1986
- Rensselaer Polytechnic Institute, B.S., 1983
- Swiss Federal Institute of Technology (ETH Zurich)

BAR ADMISSIONS

- Pennsylvania
- New Jersey

COURT ADMISSIONS

- U.S. District Court, District of New Jersey

SPEAKING ENGAGEMENTS

- Co-author, "[SEC Broadens Guidance on Accredited Investor Verification](#)," *Troutman Pepper Locke*, March 13, 2025.
- Co-author, "[Delaware Further Updates its Framework for Equity Award Delegations](#)," *Troutman Pepper*, September 19, 2023.
- Co-presenter, "Recent Developments in Securities Law and Practice," Troutman Pepper Annual Public Company Seminar, December 2020.

PUBLICATIONS

- Co-author, "[SEC v. Ripple Labs, Inc.: XRP Considered an Unregistered Security in Institutional Sales but Not in Programmatic Sales or Other Distributions](#)," *Troutman Pepper*, July 14, 2023.
- Co-author, "[SEC Adopts Final Rule Amendments That Increase Disclosures Relating to Share Repurchases](#)," *Troutman Pepper*, May 8, 2023.
- Co-author, "[An Updated Framework for Delegating Equity Grant-Making Authority in a Delaware Public Company](#)," *Troutman Pepper*, August 10, 2022.
- Co-author, "Key Considerations for the 2021 Reporting Season," *Troutman Pepper*, January 15, 2021.

- Co-author, “New York Attorney General Issues Guidance Clarifying Filing Requirements for Regulation D Private Placements,” *Troutman Pepper*, December 14, 2020.
- Co-author, “SEC Proposes Finders Exemption,” *Troutman Pepper*, October 8, 2020.
- Co-author, “SEC Amends “Accredited Investor” Definition,” *Troutman Pepper*, August 27, 2020.
- Co-author, “Satisfying the “Need” Requirement for a Paycheck Protection Program Loan,” *Pepper Hamilton*, April 27, 2020.
- Co-author, “Stock Buyback Programs in the Era of COVID-19 – Including Special Regulatory Considerations for Banks and Bank Holding Companies,” *Pepper Hamilton*, April 24, 2020.
- Co-author, “Seven Considerations for Stock Buyback Programs in the Era of COVID-19,” *Harvard Law School Forum on Corporate Governance*, May 10, 2020.
- Co-author, “Seven Considerations for Stock Buyback Programs in the Era of COVID-19,” *Pepper Hamilton*, April 23, 2020.
- Co-author, “SEC Provides Guidance on Shareholder Meetings for Companies Affected by COVID-19,” *Pepper Hamilton*, April 8, 2020.
- Co-author, “COVID-19 Disruptions Prompt Relief From Certain Public Company Reporting Deadlines – Updated March 25,” *Pepper Hamilton*, March 26, 2020.
- Co-author, “Virtual Shareholder Meetings on the Rise Due to Coronavirus (COVID-19),” *Pepper Hamilton*, March 11, 2020.
- Co-author, “Coronavirus (COVID-19) Disruptions Prompt Relief From Certain Public Company Reporting Deadlines,” *Pepper Hamilton*, March 5, 2020.
- Co-author, “Proposed Rule Would Allow Expanded Solicitations of Interest Prior to a Registered Public Offering,” *Pepper Hamilton*, April 22, 2019.
- Co-author, “CFTC Extends Its Reach to Potentially Include Initial Coin Offerings,” *Pepper Hamilton*, October 18, 2017.
- Co-author, “SEC Cracks Down on Fraudulent ICOs in Latest Enforcement Action,” *Pepper Hamilton*, October 4, 2017.
- Co-author, “Blockchain and Initial Coin Offerings: SEC Provides First U.S. Securities Law Guidance,” *Harvard Law School Forum on Corporate Governance*, August 9, 2017.
- Co-author, “Blockchain and Initial Coin Offerings: SEC Provides First U.S. Securities Law Guidance,” *Pepper Hamilton*, July 27, 2017.
- Author, “SEC Further Enhances the IPO On-Ramp,” *Pepper Hamilton*, January 29, 2016.
- Co-author, “SEC Adopts Final Crowdfunding Regulations,” *Pepper Hamilton*, October 30, 2015.
- Co-author, “SEC Approves Final Rules for Regulation A+,” *Pepper Hamilton*, April 10, 2015.
- Co-author, “More State Courts Upholding Forum Selection Bylaws,” *Pepper Hamilton*, September 12, 2014.
- Co-author, “Forum Selection Bylaws Help Combat Multijurisdictional Shareholder Litigation: State Courts Are Increasingly Upholding Forum Selection Provisions in Corporate Bylaws,” *Pepper Hamilton*, September 3, 2014.
- Co-author, “Private Placements under New Rule 506(c) – Interplay with Other Exemptions and State Law Implications,” *Pepper Hamilton*, August 7, 2013.
- Co-author, “SEC Relaxes Ban on Advertising and Solicitation for Private Placements to Accredited Investors,” *Pepper Hamilton*, July 17, 2013.
- Co-author, “Access to the U.S. Capital Markets by Foreign Issuers: A Guide to Rule 144A and Regulation S Offerings,” *Pepper Hamilton*, June 11, 2013.
- Co-author, “Social Media Use by Public Companies – The SEC Weighs In,” *Pepper Hamilton*, April 4, 2013.
- Co-author, “NYSE and NASDAQ Compensation Committee and Compensation Advisers Listing Standards,” *Pepper Hamilton*, January 22, 2013.
- Author, “JOBS Act Implementation Schedule,” *Pepper Hamilton*, April 5, 2012.

- Co-author, "If Three's a Crowd, Thousands Are ... an Investment Round? JOBS Act Presents Significant Changes to the Federal Securities Laws," *Pepper Hamilton*, March 29, 2012.
- Co-author, "SEC Grants Shareholders Unprecedented Access to Company Proxy Statements," *Pepper Hamilton*, September 17, 2010.
- Co-author, "Beware of Lawyers Bearing Gifts (With Respect to Rule 144 Opinions)," *Pepper Hamilton*, April 14, 2010.
- Co-author, "Amended SEC Disclosure Requirements: Practice Pointers to Facilitate Compliance in 2010," *Pepper Hamilton*, December 22, 2009.
- Co-author, "SEC Proposes to Grant Shareholders Unprecedented Access to Company Proxy Statements," *Pepper Hamilton*, June 16, 2009.
- Co-author, "Protecting Your NOL Carryforward with a Poison Pill," *Pepper Hamilton*, June 9, 2009.
- Co-author, "Registered Direct Offerings as Alternatives to the Traditional PIPE Offering," *Pepper Hamilton*, March 5, 2009.
- Author, "Public to Private: So You Want to Buy a Public Company? Select Legal Issues in Public M&A," *Mergermarket*, September 2006.
- Author, "Understanding Act 6 of 2006 – The Latest Changes to the BCL," *Pennsylvania Bar Association's Spring 2006 Business Law Section Newsletter*, April 10, 2006.
- Co-author, "Expedited Disclosure of Insider Transactions Under Section 16," *Pepper Hamilton*, August 28, 2002.
- Co-author, "Sweeping Securities Law Reform Will Affect Issuers, Officers and Directors," *Pepper Hamilton*, August 5, 2002.
- Author, "Check the Redemption Provisions of Your Securities," *Pepper Hamilton*, November 21, 2001.